

Please type a plus sign (+) inside this box



PTO/SB/05 (4/98)  
Approved for use through 09/03/2000, OMB 0651-0032

Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.	11162RNUS01U
First Inventor or Application Identifier	Samuel H. Christie, IV
Title	PAYMENT AUTHORIZATION SYSTEM
Express Mail Label No.	EL 769957767 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- |  |   |
|--|---|
| <p>1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g., PTO/SB/17)<br/>(Submit an original and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Specification Total Pages <u>29</u><br/>(preferred arrangement set forth below)</p> <ul style="list-style-type: none"><li>- Descriptive Title of the invention</li><li>- Cross References to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to Microfiche Appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul> <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets <u>5</u></p> <p>4. <input checked="" type="checkbox"/> Oath or Declaration Total Pages <u>1</u></p> <p>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy form a prior application (37 C.F.R. § 1.63(d))<br/>(for continuation/divisional with Box 16 completed)</p> <p>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br/>Signed statement attached deleting<br/>inventor(s) named in the prior application,<br/>see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b)</p> | <p>5. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission<br/>(if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable copy</p> <p>b. <input type="checkbox"/> Paper Copy (identical to computer copy)</p> <p>c. <input type="checkbox"/> Statement verifying identity of above copies</p> |
|--|---|

## ACCOMPANYING APPLICATION PARTS

- |  |   |
|--|---|
| <p>7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>8. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement<br/>(when there is an assignee)</p> <p>9. <input type="checkbox"/> English Translation document (if applicable)</p> <p>10. <input type="checkbox"/> Information disclosure<br/>Statement (IDS)/PTO-1449</p> <p>11. <input type="checkbox"/> Preliminary Amendment</p> <p>12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br/>(Should be specifically itemized)</p> <p>13. <input type="checkbox"/> * Small Entity Statement<br/>(PTO/SB/09-12)</p> <p>14. <input type="checkbox"/> Certified Copy of Priority Document(s)<br/>(if foreign priority is claimed)</p> <p>15. <input checked="" type="checkbox"/> Other: Certificate of Express Mailing</p> | <p><input type="checkbox"/> Power of Attorney</p> <p><input type="checkbox"/> Copies of IDS Citations</p> <p><input type="checkbox"/> Stmt filed in prior appln.<br/>Stat. still proper &amp; desired</p> |
|--|---|

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information.

Examiner:

Group/Art Unit

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE

☒ Customer Number or Bar Code Label 22033 or ☐ Correspondence address below

Name					
Address					
City	State	Zip Code			
Country	Telephone	Fax			
Name (Print/Type)	J. Erik Fako	Registration No.	42,522		
Signature	<i>J. Erik Fako</i>	Date	27-Dec-00		

12-28-00

A

# NORTEL NETWORKS

4006.E. Highway 54  
Network Center 2, MS D16/02/0E2  
Durham, North Carolina 27713  
Tel 919.997.4453  
Fax 919.997.6659  
efako@nortelnetworks.com

www.nortelnetworks.com

J. Erik Fako  
Senior Counsel

December 27, 2000

Box Patent Application  
Assistant Commissioner  
for Patents  
Washington, D.C. 20231-9999

Re: U. S. Utility Patent Application  
Docket No. 11162RNUS01U  
Entitled : PAYMENT AUTHORIZATION SYSTEM  
Applicant: Samuel H. Christie, IV

Dear Sir:

Enclosed is a utility patent application including formal papers as follows:

Utility Patent Application Transmittal  
Fee Transmittal and copy  
Utility Patent Application (34 pages: including 18 pages of specification, 10  
pages of claims, 1 page of Abstract and 5 sheets of informal drawings)  
Declaration  
Recordation Form Cover Sheet  
Assignment  
Certificate of Express Mailing EL769957767US  
Post card

Kindly acknowledge receipt of the foregoing by returning the enclosed self-  
addressed postcard.

Sincerely,



J. Erik Fako  
Reg. No. 42,522

JEF:rs

Jc713 U.S. PTO  
09/749842  
12/27/00

Jc984 U.S. PTO  
12/27/00

09/749842  
12/27/00

Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

### FEE TRANSMITTAL

Note. Effective October 1, 1997  
Patent fees are subject to annual revision

TOTAL AMOUNT OF  
PAYMENT

\$1,132

Complete if Known

Application Number

Filing Date

First named Inventor

Group Art Unit

Examiner Name

Attorney Docket Number

27-Dec-00

Samuel H. Christie, IV

11162RNUS01U

PTO  
09/14/98  
12/27/00

### METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit and over payment to:

Deposit Account Number

Deposit Account Name

50-0873



Charge Any Additional

Charge the Issue Fee in

Fee Required Under

37 CFR 1.18 at the Mailing  
of the Notice of Allowance

37 CFR 1.16 and 1.17

2. ☐ Payment enclosed:



Check



Money Order



Other

### FEE CALCULATION

#### 1. FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	690	201	345	Utility filing fee	690
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	690	208	345	Reissue filing fee	
114	150	214	75	Provisional filing fee	
131	690	231	345	Utility filing fee (CPA)	
SUBTOTAL (1)					\$690

#### 2. CLAIMS

Total Claims	25	- 20 = Extra	5	= Fee Paid	90
Ind. Claims	7	- 3 = Extra	4	= Fee Paid	312
Multiple Dependent Claims				= Fee Paid	0

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	303	9	Claims in excess of 20	
102	78	202	39	Independent claims in excess of 3	
104	260	204	130	Multiple dependent claim	
109	78	209	39	Reissue independent claims over original patent	
110	18	210	9	Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					\$402

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2520	147	2520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1840*	113	1840*	Request publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	380	216	190	Extension for reply within second month	
117	870	217	435	Extension for reply within third month	
118	1360	218	680	Extension for reply within fourth month	
128	1850	228	925	Extension for reply within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a Brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1510	138	1510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive-unavoidable	
141	1210	241	605	Petition to revive-unintentional	
142	1210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	240	240	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40
146	690	246	345	Filing a submission after final rejection (37 CFR 1.129(a))	
149	690	249	345	For each additional invention to be examined (37 CFR 1.129(b))	
Other Fee (specify)					
Other fee (specify)					
* Reduced by Basic Filing Fee Paid					
SUBTOTAL (3)					\$40

### SUBMITTED BY

Typed or Printed Name		Complete (if applicable)	
J. Erik Fako		Reg. No.	42,522
Signature		Deposit Account User ID	
J. Erik Fako		Date	27-Dec-00